

CANDIDATES FOR ELECTION TO THE 2022 COUNCIL



Ernest CH LEE FCG FCS(PE), FCPA (Practising)

Ernest was admitted as an Associate of The Hong Kong Chartered Governance Institute (HKCGI) and The Chartered Governance Institute in 1997 and elected a Fellow in 2013. He was first elected a Council member of HKCGI in 2015. He is currently a Vice President of HKCGI and the Chairman of the Institute's Audit Committee. He also serves as a member of the Institute's Mainland China Focus Group and Editorial Board. Ernest also represents the Institute on the Services Advisory Committee of the Official Receiver's Office.

As an active participant in HKCGI activities, Ernest has also served on various committees and sub-committees of HKCGI since 2008. He was the Institute's Treasurer and a member of the Investment Strategy Task Force in 2019 and 2020. He was the Vice-Chairman of the Education Committee in 2019 and 2020 and the Vice-Chairman of the Membership Committee in 2016 and 2017. Ernest also served on the Professional Development Committee from 2008 to 2016. Not only has he served as the chairman at many ECPD events of HKCGI, Ernest is also a frequent speaker at seminars on topics relating to the application of the New Companies Ordinance and is a contributor to HKCGI's CSJ. He also chaired certain sessions of the Institute's ACRUs and was a mentor under HKCGI's inaugural Mentorship Programme.

Ernest is a Technical Partner of Deloitte China. With extensive experience in the accounting profession, he provides valuable technical advice on accounting, audit and governance related matters for a variety of industries in the Asia-Pacific area. He has extensive experience in IPOs and many other types of transactions in Hong Kong, Mainland China and other parts of the world. He is a CPA (Practising) and a fellow member of the ICAEW and ACCA.

Ernest actively contributes to setting financial reporting standards locally and internationally. He has served as the Chairman of the Financial Reporting Standards Committee of the Hong Kong Institute of Certified Public Accountants (HKICPA) since early 2019, and has been a member of various HKICPA's Advisory Panels on financial reporting matters, including the Companies Ordinance (Financial Reporting) Advisory Panel, for a number of years. For more than 10 years, Ernest served on the Review Panel for the HKICPA's Best Corporate Governance Disclosure Awards and provided technical support to the HKICPA's Professional Standards Monitoring Panel. He also provided technical support to the Financial Reporting Council (FRC) on its Risk-Based Financial Statements Review Programme. He is currently a member of the Financial Reporting Review Panel of the FRC. As an advocate of education, Ernest is an advisor to the Master of Accounting programme of the Cambridge Judge Business School, University of Cambridge.

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Professor C K LOW FCG FCS, B.Ec; LL.B; GradDip Comm Law (Monash); LL.M (HKU)

Being an academic with some three decades standing at the CUHK Business School, Chee Keong ('CK') LOW has developed an applied governance background from which he contributes towards shaping the governance debate through research that has published in journals in Australasia, Europe and the United States of America, a selection of which is available at www.ssrn.com/author=332882.

Admitted as an Advocate and Solicitor of the High Court of Malaya, CK is currently a member of the Standing Committee on Company Law Reform and of Disciplinary Panel A of the HKICPA. He previously served as a member of the Process Review Panel of the Financial Reporting Council in Hong Kong; the Listing Committee of The Stock Exchange of Hong Kong; the Securities and Futures Appeals Tribunal as well as the Financial Reporting Review Panel.

CK was elected as Fellow in 2013 and to Council in 2019. He has been an active participant in the Institute *inter alia* as a member of the Education Committee; the Technical Consultation Panel; the Academic Advisory Panel and the CSj Editorial Committee. His research fund has supported the annual HKCGI Corporate Governance Paper Competition since its inception and he also developed the Joint Institutes' seminar series which the HKCGI co-organises with the Law Society and HKICPA. If re-elected to Council CK will continue to promote the profession amongst students as well as to effectively blend theory with practice to enhance the Institute's relevance and recognition by regulators and major employers.

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SENG SZE Ka Mee, Natalia FCG FCS(PE)

Mrs. Natalia Seng first joined the HKCGI Council in year 1997 and she served as President from 2007 to 2009. She represented China Division as an international Council Member of CGI from 2010 to 2014 and she was co-opted to rejoin HKCGI Council in 2018. During her tenure as HKCGI Council Member, Natalia has served on HKCGI's major committees, panels and working groups including Education Committee, Membership Committee, Nomination Committee, Professional Development Committee, Professional Services Panel and Mainland China Focus Group.

This year, she is the Chairman of Education Committee and she works with the entire Education team on launching the FastTrack Professional route exemption to qualified students and also the quality assurance of our new CGQP examination for Governance Professionals and Company Secretaries.

In recognition of her contributions to the development of company secretary services and enhancement of corporate governance, Natalia was awarded "The Hong Kong Institute of Chartered Secretaries Prize 2017". She has also been awarded an Outstanding PolyU Alumni by The Hong Kong Polytechnic University in 2019. Natalia is an appointed member of the Standing Committee on Company Law Reform for three consecutive terms from February 2016 to January 2022. Natalia is also holder of an Executive MBA degree from City University of Hong Kong as well as a Fellow of The Taxation Institute of Hong Kong.

Upon her graduation from The Hong Kong Polytechnic, Natalia joined the corporate services practice of Ernst & Young and was a member of its corporate services founding team. When the corporate services practice was acquired by Tricor Group, Natalia was appointed as an Executive Director of its Hong Kong firm and then the Tricor CEO - China and Hong Kong from 2012 to 2018. She was Vice-Chairman of Tricor Hong Kong and Offshore until her retirement in early 2020.

Natalia has worked in the Corporate Services and Trust & Company Services Provider industry for 40 years. The Chartered Secretary and Governance Professional qualification has brought her a wonderful career and many happy returns. She is passionate about devoting her time to work for the Council and the Committees and to help further growing the Hong Kong Chartered Governance Institute from strength to strength. If being re-elected to Council, Natalia will serve her final two years term until the end of 2023.

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XIE Bing FCG FCS, MBA, EMBA

Mr. Xie is currently the board secretary of China Southern Airlines, a listed company in New York, Hong Kong and Shanghai (stock code A: 600029; stock code H: 01055). He is also the chairman of the board of China Southern Air Capital Company Limited and CSA International Finance Leasing Co., Ltd. He has been the board secretary of China Southern Airlines for more than thirteen years and is responsible for the affairs of China Southern Airlines including the management of the company, information disclosure, maintaining good relationships among investors and capital operation. He is also in charge of the financial sector of China Southern Airlines, communicating with the

global capital market.

Mr. Xie is a fellow member of The Hong Kong Chartered Governance Institute (HKCGI) and The Chartered Governance Institute (CGI). At HKCGI, he is currently the Vice-Chairman of the Professional Development Committee and he served the Mainland China Technical Consultation Panel (MCTCP) and other working groups and panels. Mr. Xie has been the group leader of the 'Corporate Governance Practical Training Materials Development' Interest Group of MCTCP as well as the research project leader for the Guidance Notes on Connected Transactions Practices of A+H Share Companies.

Mr. Xie holds a bachelor degree in transportation management from Nanjing University of Aeronautics and Astronautics, MBA in Jinan University, MBA (international banking and finance) in The University of Birmingham, EMBA in School of Economics and Management, Tsinghua University. As a senior economist, He holds the qualification of board secretary of listed company on Shanghai Stock Exchange and the qualification of company secretary of Hong Kong listed company.

Mr. Xie has been providing advice and technical support on issues of common interests of Mainland board secretaries profession through meetings, consultations and guidelines. If elected, he will continue to contribute his effort in promoting HKCGI and the Chartered Secretarial and Governance professions in Mainland China.

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Professor Alan KM AU FCG FCS

Professor Alan Au started his academic career in New Zealand and had served in various academic positions in both Hong Kong and New Zealand prior to joining Hong Kong Metropolitan University (HKMU) (formerly The Open University of Hong Kong) in 1995. Since then, he was appointed Associate Dean, then later Dean of the Lee Shau Kee School of Business and Administration of HKMU in 2010 and 2015 respectively, and is currently serving on the Court and Senate of HKMU.

As a long-time Fellow of The Hong Kong Chartered Governance Institute (HKCGI, the Institute), Professor Au has served the Institute in various capacities over the years. During his tenure as Council member, he was also elected Vice Chairman of the Membership Committee and had served as a member of the China Affair Committee, Education Committee, Academic Advisory Panel, Membership Committee, Student Disciplinary Sub-Committee, Assessment Review Panel, Exemption Assessment Panel, and Chinese Examination in China Working Group.

Professor Au is also a current member of the Professional Standards Committee of The Chartered Governance Institute (CGI). Given his stature among local and regional professional bodies, he is frequently invited to serve not only as a member of the judging panels for marketing and corporate governance awards, but also Chairman or expert member on panels of the Hong Kong Council for Accreditation of Academic and Vocational Qualifications (HKCAAVQ) for the accreditation of local and overseas academic programmes offered in Hong Kong. Aside from professional bodies, he is also the China Academic Advisor for Enactus, a global non-profit organisation that has been active in over 40 countries.

With a long list of publications to his name in internationally refereed journals, covering areas of business ethics, global management and marketing, Professor Au was the Regional Editor of Cross Cultural Management Journal, and is currently sitting on the Editorial Board of Asian Journal of Business Ethics. Moreover, he has published articles in Chinese extensively on topics relating to branding, consumer behaviour, management and marketing communications in major local newspapers and business magazines. He is also the Founding Director of the Institute of International Business and Governance (IIBG), an undertaking substantially funded by the Research Grants Council of Hong Kong, under the auspices of HKMU.

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Dr. GAO Wei FCG FCS(PE)

Dr. Gao is currently the company secretary of Zhongguancun Science-Tech Leasing Co., Ltd. (HKEX stock code: 1601) (the Company). Dr. Gao has extensive experience in corporate financing and managing overseas-listed companies. He has been one of the Council members of The Hong Kong Chartered Governance Institute (HKCGI) (formerly The Hong Kong Institute of Chartered Secretaries) from January 2012 to December 2020, and he has served as its vice-president from April 2014 to December 2020. He is currently the convenor of the HKCGI's Mainland China Technical Consultation Panel. Dr. Gao provides support and contributions to the HKCGI's Affiliated Persons (AP) Programme and publications related to mainland China issues. He is a regular speaker and panel chair to the AP ECPD seminars and functions.

Dr. Gao also served as one of the vice chairmen of the board secretary committee of China Association for Public Companies (中國上市公司協會) from November 2015 to November 2018. He served as a director of Sinotrans Air Transportation Development Co., Ltd. (中外運空運發展股份有限公司, a company previously listed on Shanghai Stock Exchange with the stock code of 600270) from November 2011 to June 2019. He served as the general manager of the company from January 2016 to June 2019, primarily responsible for overall operation and management and he served as the legal representative of the company from January 2017 to August 2019. He served as the board secretary and company secretary of Sinotrans Limited (中國外運股份有限公司), a company listed on the Hong Kong Stock Exchange (HKEX) with the stock code of 0598, from January 2003 to December 2016, primarily responsible for secretarial work; he served as the general counsel of Sinotrans Limited from January 2010 to June 2019, primarily responsible for legal affairs. He served as the board secretary and head of finance team of the Company from June 2019 to February 2021, and he has been the company secretary of the Company since June 2019.

Dr. Gao graduated from University of Science and Technology Beijing (北京科技大學) majoring in management engineering in July 1989, and further obtained a master's degree in economics from Central University of Finance and Economics (中央財經大學) in January 1993. He obtained a doctor's degree in law from University of International Business and Economics (對外經濟貿易大學) in June 1999. He was accredited as a PRC lawyer by the Ministry of Justice in October 1996. He is one of the pioneers board secretaries to complete the IQS examinations in mainland China. He is a fellow member of both HKCGI and The Chartered Governance Institute in the United Kingdom. Dr. Gao has been serving as a visiting professor of the Law School of University of International Business and Economics since January 2019. He is also an arbitrator of each of China International Economic and Trade Arbitration Commission, China Maritime Arbitration Commission and Beijing Arbitration Commission.

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Kenny LUO (LUO Nan) FCG FCS

Mr. Kenny Luo is a seasoned governance professional, with Hong Kong, the Mainland and international business experiences and understandings of governance best practices. He has a track record of contributions to the Institute and is passionate in using his skill sets to promote the Institute's mandate on good governance and to elevate the status of the Institute and its members as governance professionals.

Mr. Luo is currently the Board Secretary & Company Secretary of Bank of China (Hong Kong) Limited. This is the flagship subsidiary of Bank of China Limited, and a note issuing bank in Hong Kong, with financial businesses in Hong Kong, South East Asia and internationally. He has facilitated the bank to be Platinum sponsor of the Institute's Annual Corporate and Regulatory Updates (ACRU) for the last five years. With his understanding of the Mainland and international governance best practices, he also now serves on the Institute's Mainland China Focus Group, and is on the Company Secretaries Panel, where he contributes his views on regulatory submissions and interacts with regulators for the Institute. Mr. Luo also actively participates in the Institute's consultations, research projects and events.

Mr. Luo's deep experiences in board and listed compliance issues, investor relations, IPO, M&A and other projects stems from his background. In 1996, he joined Bank of China, Beijing, where he worked his way to be on the core team for the IPOs of Bank of China Hong Kong Limited (HKEX: 2388) and Bank of China Holdings Limited (HKEX: 3988 and SSE: 601988). By 2007, he qualified as an A-share board secretary, and became the Listing Affairs Representative and Head of Investor Relations of Bank of China Holdings Limited. In 2009, he was promoted as Deputy General Manager, Board Secretariat, Bank of China Holdings Ltd. and by 2016, Board Secretary and Company Secretary of Bank of China Hong Kong Holdings Limited. Mr. Luo has over 20 solid years of applied corporate governance experiences.

Mr. Luo has an MBA from University of Toronto and an MCG from the Hong Kong Metropolitan University. He is the Institute's Fellow and looks forward to be of service to the Institute.

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Calvin SF TANG FCG FCS, CFA, MRICS



Mr. Calvin Tang, a Chartered Governance Professional (FCG), a Chartered Secretary (FCS), a Chartered Financial Analyst (CFA) and a Chartered Valuation Surveyor (MRICS), has over 15 years of extensive experience in corporate finance and corporate governance. He has been being a partner of Hexacubic Consulting, a TCSP licensee providing corporate governance consulting services, for three years and is currently the company secretary of two listed companies - Wecon Holdings Limited (Stock Code: 1793) and Keen Ocean International Holding Ltd (Stock Code: 8070).

In October 2004, he was engaged by HSBC as an analyst and since May 2006 he worked in AXA Wealth Management Limited with the last position as an assistant manager responsible for portfolio management. He had then been the vice president and the director respectively for two private equities investment management firms from 2012 to 2018 and responsible for pre-IPO investment.

Mr. Tang was awarded Master of Business Administration with Special Recognition Award from China Europe International Business School, Master of Science in Corporate Governance and Compliance with Merit Award from the Hong Kong Baptist University, and Bachelor of Engineering with Honours from The University of Hong Kong. He is a life member of the Beta Gamma Sigma and a member of Hong Kong Mensa. He obtained HKBU MScCGC Local Entrance Award and HKICS Foundation Subject Prize in 2019, awarded Champion in Global Research Challenge (PRC) by CFA Institute in 2010 and the Outstanding Financial Management Planner Awards by The Hong Kong Institute of Bankers in 2009.

He has served as a mentor for Governance Professional Mentorship Programme of the Institute and an expert reviewer for The Hong Kong Corporate Governance Excellence Awards Competition in 2019 and 2020. He has founded Project Tomorrow, a charitable organization aimed at developing socially-responsible leaders, and is currently a Technical Committee Member in HKBRFSL of Urban Renewal Authority and a member in Sha Tin District National Education Committee and a member in Compliance Connect of the CFA Institute.

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WONG, Chun Sek Edmund

FCG FCS, CGP, FCPA(Practising), ACA, CA ANZ, FCCA, FTIHK, MSCA

Mr. Wong is currently a fellow member of The Hong Kong Chartered Governance Institute ("HKCGI"), The Chartered Governance Institute ("CGI") and The Hong Kong Institute of Certified Public Accountants ("HKICPA"); member of The Institute of Chartered Accountants in England and Wales ("ICAEW"), The Institute of Australia and New Zealand ("CA(ANZ)"), Association of Chartered Certified Accountants of the United Kingdom ("ACCA"), The Taxation Institute of Hong Kong and The Society of Chinese Accountants and Auditors. Mr. Wong has attained bachelor degree in accountancy in 2007 and master degrees in Applied Accounting and Finance, Business Administration, Corporate Governance, as well as Professional Accounting from 2013 to 2021.

Starting his career as auditor with one of the Big-4 accounting firm after graduation, Mr. Wong was required to deal with different rules, regulations and Ordinances for listed and listing entities as his main duties including IPOs, special projects etc for listed clients, which enabled him to have first-hand experience in corporate governance to capital market. Subsequently, Mr. Wong joined Patrick Wong CPA Ltd, being one of the renowned professional firm in Hong Kong, and his exposure in laws and regulations have been further broadened as he has been appointed as head of Corporate and Compliance Division of the firm since 2013. In this position, he is directly responsible to handle and resolve different complicated compliance matters for clients, as well as advising them on different compliance requirements and best practices from the angle of corporate governance. Therefore, he is in frontline for handling corporate governance and compliance matters for past 7 years, and nearly 15 years' working experience in compliance, corporate governance for both private and listed entities.

Served as President of The Society of Chinese Accountants and Auditors ("SCAA") in 2020 and being a council member currently, Mr. Wong understands difficulties of professionals in the changing and challenging environment nowadays. Apart from SCAA, he is also serving as Deputy Chairman and committee member of various committees in HKICPA, including Small and Medium Practitioners Committee (Deputy Chairman), Youth Member Committee (Deputy Chairman), Professional Development Committee and Greater Bay Area Committee etc. Yet, he is also appointed as Committee Member of Accountancy Training Board of Vocational Training Council recently.

Being member of HKICS/HKCGI since 2017, Mr. Wong always explores different opportunities to serve our Institute. Apart from participating different events and functions organized by the Institute; as a young member, he also served as a sharing member in the Institute's Chartered Secretaries Preview Day, to exchange and share views in career of Corporate Governance Professional with undergraduate students. Furthermore, he also served as lecturer for Examination Technique Workshop for the Institute's CG Qualification Programme, to assist and nurture student members of the Institute in gaining the professional qualifications.