

# The Hong Kong Chartered Governance Institute

## Enhanced Continuing Professional Development (ECPD) Training

### Mastering Internal Controls & Whistleblowing Policy: Navigating Regulatory Regimes and Best Practices for Corporate Governance

9 May 2024

Speaker:

**Ms Emily Lam**

**Ms Emily Lam** is an International Counsel in the firm's Hong Kong office, and a member of the Litigation Department of Debevoise & Plimpton, who has over 15 years of experience in a broad range of commercial litigation matters, including injunction applications, summary judgment applications, discovery applications, insolvency litigation and enforcement proceedings.

She has acted on behalf of a wide range of clients including financial institutions, state-owned PRC companies, major international corporations, listed companies and high net worth individuals on matters including contractual disputes, shareholder disputes, internet fraud and regulatory investigations. In addition, she has represented clients in dealing with law enforcement agencies and regulators such as the Commercial Crime Bureau, the Independent Commission Against Corruption and the Securities and Futures Commission.

Apart from commercial litigation, Ms Lam also advises on contentious regulatory matters. She is noted in The Legal 500 Asia Pacific (2022) for her anti-corruption and compliance practice. Her clients comprise investment banks, financial institutions and listed companies, and she has advised them on issues arising from or relating to sponsorship's obligations, disclosure of inside information, systems and controls, dawn raids, disciplinary proceedings and generally on regulatory compliance and market misconduct issues. Ms Lam has conducted internal investigations for clients, which involved reviews of internal systems and controls in the context of Hong Kong regulatory requirements, and she has represented clients in relation to investigations by the Securities and Futures Commission and the Stock Exchange of Hong Kong, as well as on matters before the Takeover and Mergers Panel.