

# **The Hong Kong Chartered Governance Institute**

## **Enhanced Continuing Professional Development (ECPD) Training**

### **Share Scheme Evolution: Navigating Regulatory Changes, Enhancing Compliance and Introducing Employee Stock Purchase Plan**

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**Speaker:**

**Mr Nic Yau**

**Mr Nic Yau** is a Consultant of Lo & Lawyers. He advises clients on corporate finance transactions, including mergers and acquisitions, initial public offerings, formation of joint ventures, corporate restructuring, private equity transactions and other commercial transactions under the governance of the Listing Rules and the Takeovers and Share Repurchase Codes.

Nic also advises a number of SMEs in Hong Kong on their business development matters and fund raising activities.