

The Hong Kong Chartered Governance Institute

Enhanced Continuing Professional Development (ECPD) Training

Evolution of Internal Audit for Governance and Sustainability Enhancement

18 October 2023

Chair:

Mr Mike Chan

Mr Mike Chan FCG HKFCG has led an impressive career as risk management and auditing in his 20+ years working in banking.

Serving as the Fraud Control Officer and General Manager of Operational Risk Management at a member of PRC-based banking group (a Top 1000 Global Banks of The Banker) in Hong Kong, Mike plays a major role in leading effective implementation of the Group's operational and fraud risk management framework; overseeing and serving as subject matter expert for business continuity management / disaster recovery planning, new product (including Fintech, Cross-boundary Wealth Management Connect & Stock Connect, ESG) projects and Basel compliance. Through his commitment to this role, he has helped the bank staying a remarkable operational loss ratio compared with industry for years, cultivating risk culture and control awareness internally, establishing a close collaboration with regulatory bodies, and implementing effective incident and crisis management from Occupy Central (2014), Extradition Bill (2019) & COVID-19 (2020 & 2021). In 2021, his expertise and commitment has allowed him to be recognized as a Top 10 Best Performance Award of the bank.

Prior to his current role, Mike played operational risk management and internal auditing roles at other top PRC banks; and business consultancy role in Big4. He has contributed to a different industry organizations for years, including Professional Development Committee of HKCGI and Banking Regulatory Advisory Panel of HKICPA.

Mike is a fellow member of both accountancy body (Australia & Hong Kong) and corporate governance and secretary body (UK & Hong Kong). He also pursued professional designation on project management, quality control, operational risk and resilience, business continuity and information security; and completed the Transformational Leadership and Change Management program of the Pennsylvania State University.