

The Hong Kong Chartered Governance Institute Enhanced Continuing Professional Development (ECPD) Training

Hong Kong Securities Enforcement Update – Practical Knowledge and Applied Practices

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Speaker:

Mr Jimmy Chan

Mr Jimmy Chan is a Partner and Head of Financial Regulation of Jingtian & Gongcheng LLP. He is also a Council Member of the Law Society of Hong Kong.

Jimmy spent 14 years with the Securities Futures Commission (“SFC”) and served as a Director and Head of International and Policy of the Enforcement Division. He has twice been awarded the Outstanding Individual Award by the SFC for his efforts on the Lehman Brothers Minibond resolution and the securities and futures appellate work, respectively.

Jimmy has substantial experience in cross-border financial investigations and SFC disciplinary proceedings involving financial intermediaries (banks, brokerage firms and sponsors). Currently, he represents numerous listed companies, financial institutions and their senior staff in financial regulatory investigations by the SFC and the HKEx.

He studied law at the University of Hong Kong and obtained further law degrees from University College London (Chevening Scholar), Harvard Law School (Harvard University HKJC Graduate Fellow) and Peking University. He is qualified as a solicitor in Hong Kong SAR, a lawyer in the Greater Bay Area PRC, a solicitor (non-practising) in England and Wales and an attorney-at-law in the State of New York. He is also a China-Appointed Attesting Officer.